

**Department of Health and Human Services
Administration for Children and Families
Financial Assistance Award (FAA)**

1. RECIPIENT

SAI NUMBER:

PMS DOCUMENT NUMBER:
90SC000201

1. AWARDING OFFICE: Office of Head Start		2. ASSISTANCE TYPE: Discretionary Grant		3. AWARD NO.: 90SC0002/01		4. AMEND. NO.:	
5. TYPE OF AWARD: SERVICE		6. TYPE OF ACTION: New		7. AWARD AUTHORITY: American Recovery and Reinvestment Act of			
8. BUDGET PERIOD: 05/01/2010 THRU 04/30/2013		9. PROJECT PERIOD: 05/01/2010 THRU 04/30/2013		10. CAT NO.: 93708			
11. RECIPIENT ORGANIZATION: State of Illinois 401 South Clinton Chicago IL 62762 Michelle Sanddler, Secretary, Department of Human Services				12. PROJECT / PROGRAM TITLE: State Advisory Council- One time funding			

13. COUNTY:	14. CONGR. DIST:	15. PRINCIPAL INVESTIGATOR OR PROGRAM DIRECTOR: Julie Smith, Deputy Chief of Staff
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16. APPROVED BUDGET:		17. AWARD COMPUTATION:	
Personnel.....	\$ 647,144	A. NON-FEDERAL SHARE.....	\$ 8,362,853 70.00 %
Fringe Benefits.....	\$ 52,361	B. FEDERAL SHARE.....	\$ 3,584,080 30.00 %
Travel.....	\$ 39,306	18. FEDERAL SHARE COMPUTATION:	
Equipment.....	\$ 10,000	A. TOTAL FEDERAL SHARE.....	\$ 3,584,080
Supplies.....	\$ 43,902	B. UNOBLIGATED BALANCE FEDERAL SHARE.....	\$
Contractual.....	\$ 2,072,800	C. FED. SHARE AWARDED THIS BUDGET PERIOD..	\$ 3,584,080
Facilities/Construction.....	\$ 0	19. AMOUNT AWARDED THIS ACTION:	
Other.....	\$ 703,434	\$ 3,584,080	
Direct Costs.....	\$ 3,568,947	20. FEDERAL \$ AWARDED THIS PROJECT PERIOD:	
Indirect Costs.....	\$ 15,133	\$ 3,584,080	
At % of \$		21. AUTHORIZED TREATMENT OF PROGRAM INCOME:	
In Kind Contributions.....	\$ 0	ADDITIONAL COSTS	
Total Approved Budget(**)..	\$ 3,584,080	22. APPLICANT EIN: 1-364163567-A1	23. PAYEE EIN: 1-364163567-A1
		24. OBJECT CLASS: 41.51	

25. FINANCIAL INFORMATION:

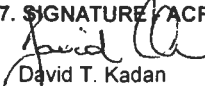
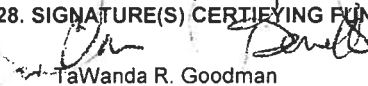
DUNS: 067919071


ORGN	DOCUMENT NO.	APPROPRIATION	CAN NO.	NEW AMT.	UNOBLIG.	NONFED %
OHS	90SC000201	75-9/0-1537	2010 G99R027	\$3,584,080		

26. REMARKS: (Continued on separate sheets)

Client Population: 0.
Number of Delegates: 0.
Paid by DHHS Payment Management System (PMS), see attached for payment information.
This award is subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable to you based on your recipient type and the purpose of this award.
This includes requirements in Parts I and II (available at <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>) of the HHS GPS.
Although consistent with the HHS GPS, any applicable statutory or regulatory requirements, including 45 CFR Part 74 or 92, directly apply to this award apart from any coverage in the HHS GPS.
This award is subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as

APR 22 2010 APR 23 2010

27. SIGNATURE ACF GRANTS OFFICER  David T. Kadan	DATE: 4-16-10	28. SIGNATURE(S) CERTIFYING FUND AVAILABILITY  TaWanda R. Goodman
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29. SIGNATURE AND TITLE - PROGRAM OFFICIAL(S)  Yvette Sanchez Fuentes, Director, OHS	DATE: 4/20/10
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**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES
FINANCIAL ASSISTANCE AWARD**

SAI NUMBER:

PMS DOCUMENT NUMBER:
90SC000201

1. AWARDING OFFICE: Office of Head Start		2. ASSISTANCE TYPE: Discretionary Grant	3. AWARD NO.: 90SC0002/01	4. AMEND. NO.
5. TYPE OF AWARD: SERVICE		6. TYPE OF ACTION: New	7. AWARD AUTHORITY: American Recovery and Reinvestr	
8. BUDGET PERIOD: 05/01/2010 THRU 04/30/2013		9. PROJECT PERIOD: 05/01/2010 THRU 04/30/2013		10. CAT NO.: 93708
11. RECIPIENT ORGANIZATION: State of Illinois				

26. REMARKS: (Continued from previous page)

amended (22 U.S.C. 7104).

For the full text of the award term, go to http://www.acf.hhs.gov/grants/award_term.html.

This grant is subject to the requirements as set forth in 45 CFR Part 87.

This grant is subject to the requirements set forth in 45 CFR part 74 (for non-profit organizations and educational institutions) or 45 CFR Part 92 (for state, local, and federally recognized tribal governments).

Initial expenditure of funds by the grantee constitutes acceptance of this award.

No future support is anticipated.

This award is subject to HHS regulations codified at 45 CFR 1301, 1302, 1303, 1304, 1305, 1306, 1308, 1309 and 1310.

(**) Reflects only federal share of approved budget.

This action approves funding in the amount of \$3,584,080 made available via the American Recovery and Reinvestment Act (ARRA) to the state of Illinois for the purpose of establishing a State Advisory Council on Early Childhood Education and Care.

Employee Compensation CAP-ACYF-PI-HS-05-01- Issuance Date: 3/2/2005: Head Start funds shall not be used to pay the compensation to an individual, either as a direct cost or any proration as an indirect cost, at a rate in excess of Executive Level II compensation which is \$179,700 effective January 2009. Every Head Start grantee and delegate is responsible for assuring its compliance with this provision.

Proposed Indirect Costs are restricted pending submission of a current Indirect Cost Rate Agreement. The grantee should submit a current cost proposal to their cognizant Division of Cost Allocation. The negotiated rate agreement should be submitted to the Grants Officer upon receipt. Indirect cost rates must be concurrent with the period of the grant award.



DEPARTMENT OF HEALTH & HUMAN SERVICES

ADMINISTRATION FOR CHILDREN AND FAMILIES
370 L'Enfant Promenade, S.W.
Washington, D.C. 20447

Distribution of Financial Assistance Award (FAA)

Please make copies of the Financial Assistance Award prior to distribution to the following in your organization.

- *ORIGINAL* to Authorized Official (Chairperson, Executive Director, President).
- *COPY* to Accounting / Finance department.
- *COPY* to Head Start Director.
- *COPY* to Policy Council Chairperson.



DEPARTMENT OF HEALTH & HUMAN SERVICES

APR 23 2010

ADMINISTRATION FOR CHILDREN AND FAMILIES
Office of the Assistant Secretary, Suite 600
370 L'Enfant Promenade, S.W.
Washington, D.C. 20447

Ms. Julie M. Smith
Human Capital Development
Illinois Department of Human Services
401 South Clinton Street
Chicago, Illinois 60607

Re: Grant Number 90SC0002

Dear Ms. Smith:

It is a pleasure to inform you that your application to the Administration for Children and Families (ACF) for the State of Illinois has been approved for funding under the authority of Section 642B(b)(1)(A)(i) of the Head Start Act 42 USC 9837, which was added by the Improving Head Start for School Readiness Act of 2007, and the American Recovery and Reinvestment Act of 2009 (Public Law 111-5). Funding shall be used by the State of Illinois to improve coordination and collaboration among early childhood education and care programs and services in the State. The enclosed Financial Assistance Award provides a new funding award for the above referenced ACF program in the amount of \$3,584,080 for the three-year project period. Please read the award document carefully, as it delineates the terms and conditions of the award.


The staff assisting with this project and their respective locations are:

Richard F. Gonzales
Federal Project Officer
Administration for Children and Families
Office of Head Start
901 D Street, SW, 7th Floor West
Washington, DC 20024
202/401-5138
Richard.gonzales@acf.hhs.gov

Keesha Walker
Grants Management Specialist
Division of Discretionary Grants
Office of Grants Management
901 D Street, SW, 6th Floor East
Washington, DC 20447
202/260-7614
keesha.walker@acf.hhs.gov

Reports and other correspondence related to programmatic aspects of your grant should be directed to the Federal Project Officer identified above. Financial reports and other business related correspondence should be directed to the Grants Management Specialist. All documentation must include the grant number referenced above. I wish you success with your project activities, which are of great importance to the mission of ACF.

Sincerely,


Carmen R. Nazario
Assistant Secretary
for Children and Families

Enclosures



Attachment 1

Standard Terms and Conditions American Recovery and Reinvestment Act of 2009 Division A Funds February 26, 2009

1. Other Standard Terms and Conditions

All other grant policy terms and conditions contained in applicable Department of Health and Human Services (HHS) Grant Policy Statements apply unless they conflict or are superseded by the following terms and conditions implementing the American Recovery and Reinvestment Act of 2009 (ARRA) requirements below. Recipients are responsible for contacting their HHS grant/program managers for any needed clarifications.

2. Recipient Reporting

Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must report on ARRA sub-recipient (sub-grantee and sub-contractor) activities as specified below.

Not later than 10 days after the end of each calendar quarter, starting with the quarter ending June 30, 2009 and reporting by July 10, 2009, the recipient must submit quarterly reports to HHS that will be posted to Recovery.gov, containing the following information:

- a. The total amount of ARRA funds under this award;
- b. The amount of ARRA funds received under this award that were obligated and expended to projects or activities;
- c. The amount of unobligated award balances;
- d. A detailed list of all projects or activities for which ARRA funds under this award were obligated and expended, including
 - The name of the project or activity;
 - A description of the project or activity;
 - An evaluation of the completion status of the project or activity;
 - An estimate of the number of jobs created and the number of jobs retained by the project or activity; and
 - For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment.

- e. Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282).

For any sub-award equal to or larger than \$25,000, the following information:

- The name of the entity receiving the sub-award;
 - The amount of the sub-award;
 - The transaction type;
 - The North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number;
 - Program source;
 - An award title descriptive of the purpose of each funding action;
 - The location of the entity receiving the award;
 - The primary location of performance under the award, including the city, State, congressional district, and country; and
 - A unique identifier of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity.
- f. All sub-awards less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS.
- g. Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis. Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted.
- h. Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award.

The definition of terms and data elements, as well as any specific instructions for reporting, including required formats, will be provided in subsequent guidance issued by HHS.

3. Buy American - Use of American Iron, Steel, and Manufactured Goods

Recipients may not use any funds obligated under this award for the construction, alteration, maintenance, or repair of a public building or public work unless all of the iron, steel, and manufactured goods used in the project are produced in the United States unless HHS waives the application of this provision. (ARRA Sec. 1605)

4. Wage Rate Requirements

[This term and condition shall not apply to tribal contracts entered into by the Indian Health Service funded with this appropriation. (ARRA Title VII - Interior, Environment, and Related Agencies, Department of Health and Human Services, Indian Health Facilities)]

Subject to further clarification issued by the Office of Management and Budget, and notwithstanding any other provision of law and in a manner consistent with other provisions of ARRA, all laborers and mechanics employed by contractors and subcontractors on projects funded directly by or assisted in whole or in part by and through the Federal Government pursuant to this award shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code. With respect to the labor standards specified in this section, the Secretary of Labor shall have the authority and functions set forth in Reorganization Plan Numbered 14 of 1950 (64 Stat. 1267; 5 U.S.C. App.) and section 3145 of title 40, United States Code. (ARRA Sec. 1606)

5. Preference for Quick Start Activities (ARRA)

In using funds for this award for infrastructure investment, recipients shall give preference to activities that can be started and completed expeditiously, including a goal of using at least 50 percent of the funds for activities that can be initiated not later than 120 days after the date of the enactment of ARRA. Recipients shall also use grant funds in a manner that maximizes job creation and economic benefit. (ARRA Sec. 1602)

6. Limit on Funds (ARRA)

None of the funds appropriated or otherwise made available in ARRA may be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604)

7. Disclosure of Fraud or Misconduct

Each recipient or sub-recipient awarded funds made available under the ARRA shall promptly refer to the HHS Office of Inspector General any credible evidence that a principal, employee, agent, contractor, sub-recipient, subcontractor, or other person has submitted a false claim under the False Claims Act or has committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving those funds. The HHS Office of Inspector General can be reached at <http://www.oig.hhs.gov/fraud/hotline>

8. ARRA: One-Time Funding

Unless otherwise specified, ARRA funding to existent or new awardees should be considered one-time funding.

9. Schedule of Expenditures of Federal Awards

Recipients agree to separately identify the expenditures for each grant award funded under ARRA on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC) required by Office of Management and Budget Circular A-

133, "Audits of States, Local Governments, and Nonprofit Organizations." This identification on the SEFA and SF-SAC shall include the Federal award number, the Catalog of Federal Domestic Assistance (CFDA) number, and amount such that separate accountability and disclosure is provided for ARRA funds by Federal award number consistent with the recipient reports required by ARRA Section 1512(c). (2 CFR 215.26, 45 CFR 74.26, and 45 CFR 92.26)

10. Responsibilities for Informing Sub-recipients

Recipients agree to separately identify to each sub-recipient, and document at the time of sub-award and at the time of disbursement of funds, the Federal award number, any special CFDA number assigned for ARRA purposes, and amount of ARRA funds. (2 CFR 215.26, 45 CFR 74.26, and 45 CFR 92.26)